

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District Of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HBK MASTER FUND L.P.

Name of Transferee

Name and Address where notices to  
transferee should be sent:

HBK Master Fund L.P.  
c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Phone: (214) 758-6107

Last Four Digits of Acct #: N/A

Name and Address where transferee  
payments should be sent (if different from  
above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

CITIGROUP FINANCIAL PRODUCTS INC.

Name of Transferor

Court Claim # (if known): Claim No. 67307

Amount of Claim Transferred: \$75,000,000.00

Date Claim Filed: September 22, 2009

Debtor: Lehman Brothers Special Financing  
Inc.

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith  
Transferee/Transferee's Agent

Date: January 28, 2015

# **EXHIBIT A**

**United States Bankruptcy Court  
Southern District of New York**

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP) (Jointly  
Administered)

**TRANSFER OF CLAIM OTHER THAN FOR  
SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee and Transferor hereby give evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice. Transferor waives its right to raise any objection to the transfer of the claim to Transferee, and Transferor waives its right to receive notice pursuant to Rule 3001(e), Fed. R. Bankr. P. of the transfer of the claim. Transferor consents to the substitution of Transferor by Transferee for all purposes in the above referenced bankruptcy cases with respect to the claim, including without limitation, for voting and distribution purposes. Transferor stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the claim to Transferee and recognizing Transferee as the sole owner and holder of the claim. Transferor hereby directs that all payments, distributions, notices and communications in respect of or with respect to the claim be made to Transferee.

HBK Master Fund L.P.  
Name of Transferee

Citigroup Financial Products Inc. Name  
of Transferor

Name and Address where notices to  
transferee should be sent:

Court Claim # (if known): 67307

Amount of Claim Transferred: \$  
75,000,000.00

Date Claim Filed: 22 September 2009, as  
subsequently amended on 25 January  
2011

Debtor: Lehman Brothers Special  
Financing Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:  Date: 1/27/2015  
 Transferee/Transferee's Agent **Beauregard A. Fournet**  
**Authorized Signatory**

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

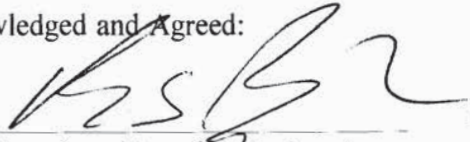
By: \_\_\_\_\_ Date: \_\_\_\_\_  
Transferor/Transferor's Agent

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Transferee/Transferee's Agent

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Acknowledged and Agreed:

By:  Date: 1/27/2015  
Transferor/Transferor's Agent  
Brian S. Broyles  
Authorized Signatory

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Southern District Of New York

In re Lehman Brothers Holdings Inc.,

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HBK MASTER FUND L.P.

Name of Transferee

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c/o HBK Services LLC  
2101 Cedar Springs Road, Suite 700  
Dallas, TX 75201

Phone: (214) 758-6107

Last Four Digits of Acct #: N/A

Name and Address where transferee  
payments should be sent (if different from  
above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

CITIGROUP FINANCIAL PRODUCTS INC.

Name of Transferor

Court Claim # (if known): Claim No. 67310

Amount of Claim Transferred: \$75,000,000.00

Date Claim Filed: September 22, 2009

Debtor: Lehman Brothers Holdings Inc.

Phone: \_\_\_\_\_

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ J. R. Smith

Transferee/Transferee's Agent

Date: January 28, 2015

# **EXHIBIT A**

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**Southern District of New York**

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SECURITY**

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HBK Master Fund L.P.  
Name of Transferee

Citigroup Financial Products Inc. Name  
of Transferor

Name and Address where notices to  
transferee should be sent:

Court Claim # (if known): 67310

Amount of Claim Transferred: \$  
75,000,000.00

Date Claim Filed: 22 September 2009, as  
subsequently amended on 25 January 2011.

Debtor: Lehman Brothers Holdings Inc.



I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Date: 1/27/2015

Transferee/Transferee's Agent

**Beauregard A. Fournet**  
**Authorized Signatory**

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

By: \_\_\_\_\_

Transferor/Transferor's Agent

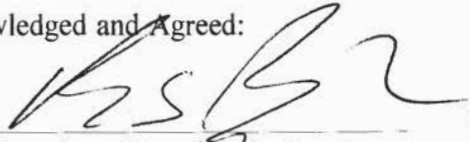
Date: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Transferee/Transferee's Agent

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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